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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Masahiro MURAKAMI, et al.

Appln. No. 10/009,795

Group Art Unit: NOT YET ASSIGNED

Confirmation No.: 1140

Examiner: NOT YET ASSIGNED

Filed: December 12, 2001

For:

POLYCARBONATE PRODUCTION PROCESS AND FILTER UNIT

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT U.S. Appln. No. 10/009,795

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

JP-A-7-268091 is cited and discussed on page 8 of the specification in the above referenced application. Also an English Language Abstract is submitted herewith.

Submission of the English Language Abstracts of JP-A-5-186583 and JP-A-9-104747 constitutes the concise statement requirement for foreign language documents.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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BEK\mg

Date: April 23, 2002

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Registration No. 33,725

Complete if Known

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

| | | | First Named Inventor | Masahiro MURAKAMI | _ |
|-----------------------------------|---|------|------------------------|-------------------|---|
| (use as many sheets as necessary) | | | Art Unit | NOT YET ASSIGNED | _ |
| | | | Examiner Name | NOT YET ASSIGNED | _ |
| Sheet | 1 | of 1 | Attorney Docket Number | Q67632 | _ |
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Application Number

Filing Date

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| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|------|----------|-----------------------------------|--------------------------------|---|--|--|
| | Cite | Document | | Publication Date MM-DD-YYYY | | | |
| | No.1 | Number | Kind Code ² (if known) | | Name of Patentee or Applicant of Cited Document | | |
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| | MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation ⁶ | | | | |
| | | JP | 7-268091 | | 10-17-1995 | | |
| | | JP | 5-186583 | | 7-27-1993 | | · · · · · · · · · · · · · · · · · · · |
| | | JР | 9-104747 | | 4-22-1997 | | |
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| A-1 | | OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------|--|--------------------------|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation ⁶ |
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| Examiner Signature | · | Date Considered | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.